

United States Patent and Trademark Office

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/790,257

03/02/2004

Joost Sytsma

1857.2690000/JDE

26111 STERNE, KESSLER, GOLDSTEIN & FOX PLLC 1100 NEW YORK AVENUE, N.W. WASHINGTON, DC 20005



CONFIRMATION NO. 9806 FORMALITIES LETTER *OC00000012732439*

Date Mailed: 05/20/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/21/2004 HLE333

00000023 10790257

770.00 OP 01 FC:1001 02 FC:1201 86.00 OP 36.00 OP 03 FC:1202 130.00 OP 04 FC:1051

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$122 as a non-small entity, including any required multiple dependent claim fee, are required.-Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1022 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$122
 - \$86 for 1 independent claims over 3.
 - \$36 for 2 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

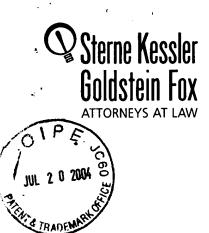
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A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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July 20, 2004

WRITER'S DIRECT NUMBER: (202) 772-8645 INTERNET ADDRESS: JASONE@SKGF.COM

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Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Patent Application

Appl. No. 10/790,257; Filed: March 2, 2004

Lithographic Apparatus and Device Manufacturing Method

Sytsma et al. Inventors: Our Ref: 1857.2690000

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated May 20, 2004, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

- PTO Fee Transmittal Form PTO/SB/17; 1.
- 2. Copy of the Notice to File Missing Parts;
- 3. Original Declaration, executed by the inventors;
- Return postcard; and 4.
- 5. PTO-2038 Credit Card Payment Form for \$1,022.00 to cover: \$770.00 Filing Fee for Patent Application (37 C.F.R. § 1.16); \$130.00 Surcharge for late filing fee or Declaration (37 C.F.R. § 1.16); \$122.00 Fee for excess claims (37 C.F.R. § 1.16).

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby

Sterne, Kessler, Goldstein & Fox PLLC.: 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 f 202.371.2540: www.skgf.com

Commissioner for Patents July 20, 2004 Page 2

petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.

Respectfully submitted,

Jason D. Eisenberg

Attorney for Applicants
Registration No. 43,447

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

JDE/lvt Enclosures

289426_1.DOC

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
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Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

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TOTAL AMOUNT OF PAYMENT 1022.00

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Complete if Known						
Application Number	10/790,257					
Filing Date	March 2, 2004					
First Named Inventor	Joost Sytsma					
Examiner Name	To Be Assigned					
Art Unit	2851					
Attorney Docket No.	1857.2690000					

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check X Credit card Money X Other** None	3. ADDITIONAL FEES					
*Charge any deficiencies or credit any overpayments in Deposit Account: the fees to Deposit Acct. No. 19-0036.	Large Entity Small Entity					
			Fee	Fee	Fee Description	
Deposit Account 19-0036	Code		Code	(\$)	·	Fee Paid
Number Deposit	1051	130	2051		Surcharge - late filing fee or oath	130.00
Account Sterne, Kessler, Goldstein & Fox P.L.L.C.	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name The Director is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification	
Charge fee(s) indicated below Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after	
to the above-identified deposit account.					Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee 770.00	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 770.00	1452	110	2452	55	Petition to revive - unavoidable	
	1453	1,330	2453	665	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
Ext <u>ra Claims below</u> Fee Pald	1502	480	2502	240	Design issue fee	
Total Claims 22 - 20 **= 2 X 18 = 36.00	1503	640	2503	320	Plant issue fee	
Claims 4 - 3 **=	1460	130	1460	130	Petitions to the Commissioner	
Multiple Dependent =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity	1806	180	1806	180	Submission of Information Disclosure Stmt	
Fee Fee Fee Fee Description Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20	1809	770	2809	385	Filing a submission after final rejection	
1201 86 2201 43 Independent claims in excess of 3					(37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1204 86 2204 43 ** Reissue independent claims over original patent	1801	770	2801	385	Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	• • • • • • • • • • • • • • • • • • • •	
CURTOTAL (2) (\$\frac{122.00}{2}\$	Other fee (specify)					
SUBTOTAL (2) (\$) 122.00 **or number previously paid, if greater; For Reissues, see above	*Redu	ced by	Basic	Filing F	ee Paid SUBTOTAL (3)	130.00
or number previously paid, if greater, i or nelssaes, see above	-				. , [(0)	_

(Complete (if applicable)) SUBMITTED BY Registration No. Name (Print/Type) Telephone (202),371-2600 Jasof D. Eisenbérg 43,447 (Attorney/Agent) Signature

WARNING: Information on this form may become public. Credit card information should not be included on this form) Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 4.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.